

1.1.5 Notice of Commission Approval – Amendment to MFDA Rule 3.4.2(b)(vi) Regarding Early Warning

**THE MUTUAL FUND DEALERS ASSOCIATION (MFDA)
NOTICE OF COMMISSION APPROVAL
AMENDMENT TO MFDA RULE 3.4.2(b)(vi)
REGARDING EARLY WARNING**

The Ontario Securities Commission approved amendment to MFDA Rule 3.4.2(b)(vi) regarding Early Warning. In addition, the Alberta Securities Commission, Nova Scotia Securities Commission and Saskatchewan Financial Services Commission approved and the British Columbia Securities Commission did not object to the amendment. MFDA Rule 3.4.2(b)(vi) involves changes to MFDA procedures to reflect current practices. The amendment to Rule 3.4.2(b)(vi) will provide flexibility to the MFDA for resolving minor issues at the MFDA office. The amendment is housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.